

Swedish Mining Innovation – Planning Grant

A call for proposals within the Strategic innovation programme for the Swedish mining and metal producing industry.

1 Summary

The Strategic Innovation Programme for the mining and metal producing industry, Swedish Mining Innovation (formerly called SIP STRIM) is part of a joint venture in strategic innovation areas by Vinnova, the Swedish Energy Agency and Formas. The aim of the venture in strategic innovation areas is to stimulate international competitiveness and sustainable solutions for global societal challenges.

The strategic innovation programme Swedish Mining Innovation and Vinnova invite all stakeholders who have or have had projects financed by Swedish Mining Innovation to apply for grants for preparing a project application (**called planning projects**) to leverage their STRIM projects through initiation of the new project (**called leverage projects**). Leverage projects may be related to entire projects or parts of projects. The planning project grant is aimed at writing applications, building an international consortia and associated activities that lead to additional funding from EU or international programmes for continuing development based on activities and results from projects funded by Swedish Mining Innovation.

The leverage projects are to support the strategic innovation programme's overall objectives to improve the competitiveness of the mining and metal producing industry, to improve the sustainability of the sector, to improve resource and energy efficiency across the entire sector's value chain, as well as to develop and introduce new knowledge and new technologies to find new ores.

The call is open from 11 January 2021, and proposals will be assessed continuously until 18 November 2021 at 14:00 when the call closes.

The call covers all areas of the Strategic Research and Innovation Roadmap. Each project may apply for a maximum of 300 000 SEK. No co-financing is required.

More information about Swedish Mining Innovation is found at www.swedishmininginnovation.se.

2 Who may apply?

The call is addressed to all stakeholders who is participating or have participated in Swedish Mining Innovation funded projects.

3 Description of the call

3.1 Objectives for the call

The objective this call is to initiate new projects financed by international and private funding from, for example, EU or international initiatives which build upon results of Swedish Mining Innovation projects. Another goal is internationalisation and capacity building.

3.2 Content and implementation

Each planning project proposal should clearly describe how the leverage project addresses the overall vision of the Strategic Innovation Roadmap for the Swedish Mining, Mineral and Metal Producing Industry, as well as a description of how the planning grant will be used.

The call is aimed at funding planning projects for preparing project proposals for leverage projects based on an entire, or a part of, a project funded by Swedish Mining Innovation and aimed at realizing the goals defined in the Research and Innovation Roadmap. The call includes all areas in the Roadmap. The Roadmap is available at www.swedishmininginnovation.se.

3.3 Expected results and effects

The expected result of the call is the leveraging of Swedish Mining Innovation projects into EU or other internationally funded projects to contribute to the programme's expected outcomes and long-term impacts

The planning project should result in a completed application for a new project aimed at EU or other international funding based on results from projects funded by Swedish Mining Innovation.

4 What do we fund?

4.1 Budget

Planning projects can apply for a maximum funding from Vinnova of 300 000 SEK. No co-funding is required.

4.2 Eligible costs and state aid rules

In this call, grants are given to companies according to EU Regulation No. 1407/2013. The form 'certificate of negligible support' (försumbart stöd) must be attached to the application. Not-for-profit research institutes and academia are not required to submit this form.

For more information, see Vinnova's website: <https://www.vinnova.se/en/apply-for-funding/rules-for-our-funding/terms-and-conditions-for-our-funding/>

5 Timetable

The following dates apply for the call.

Call open 2021

| | |
|---------------------------------|---------------------------|
| Call opens: | 11 January 2021 |
| Call closes: | 18 November 2021 at 14.00 |
| Date of decision: | Continuous |
| Earliest date of project start: | Continuous |
| Last date of project start: | 10 December 2021 |

6 Formal requirements

For a proposal to be eligible for assessment in accordance with the criteria stated in 8.2, the following requirements must be met:

- Project partners should be legal entities.
- The planning project cannot start prior to the submission of the proposal.
- The proposal must contain everything requested in paragraph 8.2; project description and attachments.
- The planning project proposal should be fully or partly based on a Swedish Mining Innovation (formerly SIP STRIM) project.
- The planning project should start no later than 10 December 2021 and the maximum length of the planning project is 8 months.

7 Assessment

7.1 Assessment process

The assessment process is designed to identify the best proposals in terms of leverage potential.

The assessment of a proposal is made by representatives from the Swedish Mining Innovation programme office and Vinnova.

The evaluation and decision-making process is as follows:

1. The proposal with all required attachments is submitted via a dedicated link to the Vinnova eServices portal (Intressentportal) at www.vinnova.se. Contact Lawrence.hooey@swedishmininginnovation.se for the link.
2. Proposals that meet the formal requirements will be assessed on a continuous basis using the assessment criteria (see 7.2).
3. Vinnova and the Programme Office decides which projects are to be financed.
4. Decisions are communicated to applicants by Vinnova.

7.2 Assessment criteria

Planning project applications are assessed according to the following criteria:

Potential

- Contribution of the leverage project to Swedish Mining Innovation's objectives.
- The relevance and suitability of the leverage project for the intended call.

Feasibility of the planning project

- Quality of organizational structure, methods and implementation.
- Credibility in work plan and budget.
- Resource allocation (distribution of personnel & between partners if relevant etc.).

Stakeholders

- Competence and relevant experience of the individual planning project members

8 How to apply

Contact Lawrence Hooey at the Swedish Mining Innovation Programme Office to discuss the call requirements and request a link to make the application in Vinnova's portal. Prior to making final submission to Vinnova via the portal, send a .pdf of the proposal in the electronic system and any mandatory attachments to Lawrence Hooey.

The proposal consists of:

- a) an electronic form that is to be filled in by the applicant.
- b) Project Description submitted as an attachment in the electronic system
- c) CVs of key participants (minimum 1 CV) submitted as an attachment in the electronic system
- d) Certificate of negligible support as an attachment submitted in the electronic system. This is required only for companies receiving funding, it is not required for not-for-profit research actors.

The proposal will not be assessed if any mandatory attachment is missing, if the attachments are not written according to the instructions below or if the attachments do not meet the requirements of maximum page number and formatting.

Project description:

The project descriptions should be a maximum of three A4 pages (font size 12 pt) and contain the following headings:

- **Project title** *in Swedish and English, and the registration number of the STRIM/Swedish Mining Innovation project to be leveraged*
- **Purpose and goal of the planning project**
 - *Objectives of the planning project – what the financing would be used for.*
 - *Description and relevance of the call the leverage project proposal is to be submitted*
- **Potential of the leverage project**
 - *Summary of the previous results or projects on which the leverage project will be based and how the leverage project relates to and further develops them in support of Swedish Mining Innovation objectives*
 - *The application should describe the leverage project's TRL level, defined according to TRL description on www.swedishmininginnovation.se.*
 - *Planned composition of the leverage project consortium.*
- **Execution of the planning project**
 - *Project plan for the planning project, including activities, schedule, budget, resources and deliveries*
 - *Description of expected results including e.g. how the planning project will*

improve the leverage project proposal, expand the consortium etc.

- **Consortium of the planning project**
 - *Composition of the consortium with defined roles. This may be one or more organizations.*
 - *Organisation number and workplace for all parties involved in the planning project.*

CV: CV attachment with relevant CV for the project manager and key personnel in the project team. For these, name, age, gender, title or function and level of activity must be given. Each resume must be maximum two A4 pages with font size 12 pt.

9 Terms and conditions

For the call, Vinnova's general terms and conditions applicable at the date of decision apply for contributions. The terms contain rules on reporting, project agreements, follow-up, audits and conditions for payment, etc. The terms are found under "Terms and Conditions" on Vinnova's website. Additional special conditions may apply to individual projects.

As the call takes place within the framework of the Swedish Mining Innovation programme, there are also instructions that govern reporting, follow-up and communication to the Programme office.

10 Confidentiality

Applications received by Vinnova become public documents however Vinnova does not release data of individual business or operating conditions, inventions and research results in cases where it can be assumed that the individual could suffer damage if the information were to be made public. Further information on privacy rules during application is found on Vinnova's website.

Documents sent to the Swedish Mining Innovation programme are not covered by Vinnova privacy policy.

11 Contacts

Contact persons regarding the focus and content of the call:

Lawrence Hooey, Technology and Innovation Manager, Swedish Mining Innovation
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Contact person regarding the administrative issues and Vinnova regulations:

Susanne Gylesjö, Vinnova
Phone: +46 8 4733187
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Contact for questions about the application service:

IT-support of Vinnova
Phone: +46 8 4733299